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PATENT

Attorney Docket No. SRT-014  
(5049/23)

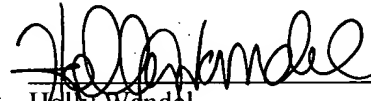
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Green, et al.  
SERIAL NO.: 09/785,607 GROUP NO.: 2122  
FILING DATE: February 16, 2001 EXAMINER: Not Yet Assigned  
TITLE: Implementing Standards-Based File Operations in Proprietary Operating Systems

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CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

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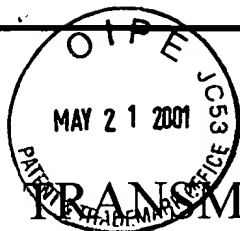
  
Holly Wandel

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form;  
Request for Corrected Filing Receipt;  
Copy of Filing Receipt with changes indicated in red and highlighted in yellow;  
and a return receipt postcard.



# TRANSMITTAL FORM

Application Serial Number	09/785,607
Filing Date	February 16, 2001
First Named Inventor	Green et al.
Group Art Unit	2122
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRT-014
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	1) Request for Corrected Filing Receipt
	<input type="checkbox"/> Amendment After Allowance	2) Copy of Filing Receipt with changes indicated in red and highlighted in yellow
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	<input type="checkbox"/> Certificate of Correction (in duplicate)	

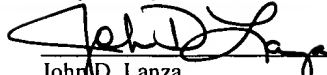
## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
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## SIGNATURE BLOCK

Date: May 18, 2001  
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Tel. No.: (617) 248-7604  
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Respectfully submitted,

  
John D. Lanza  
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125 High Street  
Boston, MA 02110



PATENT  
Attorney Docket No. SRT-014  
(5049/23)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Green et al.  
SERIAL NO.: 09/785,607 GROUP NO.: 2122  
FILED: February 16, 2001 EXAMINER: Not Yet Assigned  
TITLE: IMPLEMENTING STANDARDS-BASED FILE OPERATIONS IN  
PROPRIETARY OPERATING SYSTEMS

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Office of Initial Patent Examination  
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**REQUEST FOR CORRECTED FILING RECEIPT**

- Attached is a copy of the official filing receipt received from the Patent Office for the above-identified application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☒ incorrectly entered

*and/or*

☐ omitted

***Error In***

***Correct Data***

- ☒ Applicant's name  
Paul A. Green, **JR.**

- Paul A. Green, Jr.

- ☒ Applicant's address  
Robert N. Evans; **stow**, MA

- Robert N. Evans; Stow, MA

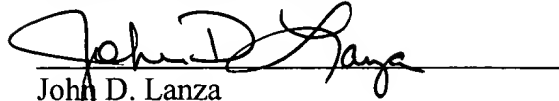
- ☒ Title  
Implementing Standards-Based  
File Operations in Proprietary  
Operations Systems

- Implementing Standards-Based  
File Operations in Proprietary  
**Operating** Systems

Date: May 18, 2001  
Reg. No. 40,060

Tel. No.: (617) 248-7604  
Fax No.: (617) 248-7100

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John D. Lanza", is written over a horizontal line.

John D. Lanza  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/785,607	02/16/2001	2122	1050	SRT-014 (5049/23)	4	30	5

021323  
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BOSTON, MA 02110

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CONFIRMATION NO. 4369

FILING RECEIPT



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PATENT DEPARTMENT  
TESTA, HURWITZ & THIBEAULT, LLP

Date Mailed: 04/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jr.

Paul A. Green JR., Harvard, MA;  
Otto R. Newman, Groton, MA;  
Robert N. Evans, stow, MA;

Stow

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/10/2001

Projected Publication Date: 08/22/2002

Non-Publication Request: No

Early Publication Request: No

Title

Implementing standards-based file operations in proprietary operative systems

Preliminary Class

operating

No Docketing Necessary

TME  
Administrator

4.25.01  
Date

Reviewed & Approved

JDC  
Resp. Atty

4/26/01  
Date